Attorney's Docket No.: 12732-174001 / US6725



IN THE UNITED STÄTES PATENT AND TRADEMARK OFFICE

Applicant: Satoko Shitagaki et al.

Art Unit

Unknown

Serial No.: 10/706,291

Examiner:

Unknown

Filed

: November 13, 2003

Unknown

Confirmation No.:

Title

: QUINOXALINE DERIVATIVES, ORGANIC SEMICONDUCTOR DEVICE

AND ELECTROLUMINESCENT DEVICE (AS AMENDED)

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

| Desig. ID | | | Source | | |
|--------------|-------------------|----------------------|--------------------------|----------------|-------------------|
| AE | | | JS 3,765,898 (Desig, ID | | |
| AF | JP 05 22218: Eng | lish abstract, File | 352, Derwent WPI, Acco | ession No. 009 | 0615701, (2004) |
| AG | JP 09 188874: In | English abstract, I | File 353, Derwent WPI, | Accession No. | 011442799 (2004) |
| AH | JP 54 005293: En | glish, File 345, In | padoc/Fam.& Legal Stat | (2004), Acces | ssion No. 3119289 |
| | (2004) and family | to US 4,286,479 (| (Desig, ID "AB") | | |
| AI | JP 64 057261: En | glish abstract, File | e 352, Derwent WPI, Ac | cession No. 00 | 7845735 (2004) |
| AJ | JP 64 063971: En | glish abstract, File | e 353, Derwent WPI, Ac | cession No. 00 | 7853259 (2004) |
| AK | JP 2003 040873: | English abstract, F | File 353, Derwent WPI, A | Accession No. | 015222228 (2004) |
| AL | WO 02/094796 A | 2: In English | | | |

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

2/27/04 Date:

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| Sheet | 1 | of | 1 |
|-------|---|----|---|
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Substitute Form P.Q-1449 (Modified) J.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12732-174001

Application No. 10/706,291

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant Satoko Shitagaki et al.

Filing Date

Group Art Unit

(37 CFR §1.98(b))

November 13, 2003

| U.S. Patent Documents | | | | | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | 3,765,898 | 10/16/73 | Bauer, et al. | | | |
| | AB | 4,286,479 | 09/01/81 | Baumann, et al. | | | |
| | AC | 6,482,949 | 11/19/02 | Sessler, et al. | | | |
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| Examiner | Desig. | Document | Publication | Country or | | | <u> </u> | lation |
| Initial | ID | Number | Date | Patent Office | Class | Subclass | Yes | No |
| | AE | CH 599569 | 05/31/78 | Switzerland | | | ABS | |
| | AF | JP 05 222181 | 08/31/93 | Japan | | | ABS | |
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| | Other Documents (include Author, Title, Date, and Place of Publication) | | | | | |
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| | AM | International Application No. PCT/JP03/13764 Search and Examination Report dated 12/09/03. | | | | |
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| | AP | Chemical Abstract, Vol., 55; pp. 1961-1962 (19934I-19935A-C) | | | | |
| | AQ | Cohen, et al., "New Polyheterocyclic 4nπ-Electron Dianions: Paratropicity, Charge Delocalization, and Reactions", Journal of the American Chemical Society, Vol. 108/No. 22, pp. 7039-7044 (1986) | | | | |
| | AR | Guldi, et al., "Intramolecular Energy Transfer in Fullerene Pyrazine Dyads", <u>Journal of Physical</u> Chemistry A, Vol. 102/No. 48, pp. 9679-9685 (1998) | | | | |
| | AS | Schürmann, et al., "Ultraviolet Photoelectron Spectroscopic Study of Heterocyclic Model Compounds for Electroluminescent Devices", Synthetic Metals, Vol. 102, pp. 1069-1070 (1999) | | | | |

| Examiner Signature | Date Considered |
|--|--|
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| EXAMINER: Initials citation considered. Draw line through citation if no | t in conformance and not considered. Include copy of this form with |
| next communication to applicant. | this official and the following the first series and the first series and the first series are the first series and the first series and the first series are the first series and the first series are the first series are the first series and the first series are the first series ar |
| The second to opposite | Substitute Disclosure Form (PTO-1449) |